

NORTH YORKSHIRE COUNTY COUNCIL**EXECUTIVE****14 JANUARY 2020****SCHOOLS BUDGET****Report of the Corporate Director – Children and Young People’s Services****1.0 PURPOSE OF THE REPORT**

- 1.1 This report asks the Executive to agree a number of recommendations relating to school funding for 2020-21, as required by guidance issued by the Department for Education (DfE).
- 1.2 These recommendations include:
- Continuing to use the DfE National Funding Formula (NFF) principles as the basis for funding schools from April 2020;
 - Adopting the mandatory Minimum Per Pupil Level (MPPL) of funding as a key factor within the formula which in 2020-21 will mean that secondary schools will receive a minimum of £5,000 per pupil and primary schools will receive a minimum of £3,750 per pupil
 - Depending on the decision from the Secretary of State in relation to the disapplication request to transfer 0.5% funding from the Schools Block to the High Needs budget, adopting a Minimum Funding Guarantee (MFG) for 2020-21 of either 0.5% or the lowest MFG applicable to a 0% transfer (indicative 1.37% based on the modelling undertaken on 2019/20 data but subject to final calculation using 2020/21 data)
- 1.3 These recommendations have been endorsed by schools during a county-wide consultation and agreed by the North Yorkshire Schools Forum.
- 1.4 The report also asks the Executive to agree to continue to lobby central government for a fairer and more equitable funding settlement for schools in North Yorkshire.

2.0 BACKGROUND

- 2.1 The Government has proposed that a National Funding Formula (NFF) will set every school’s budget (LA maintained and academies) in due course, but for a period before then, the NFF would be used to calculate notional school budgets. These notional budgets provide an overall total for each Local Authority area. LAs, and their Schools Forums, have some discretion in allocating funds at individual school level. The DfE has confirmed its intention to move to a single, ‘hard’ NFF to determine every school’s budget. The timescale, or any transition period, is not yet known.
- 2.2 The key elements of the NFF for 2020-21 include:
- the mandatory minimum per-pupil level is set at £3,750 for primary schools and £5,000 for secondary schools. The primary level will rise to £4,000 per-pupil in 2021-22;
 - local authorities are able to continue to set the Minimum Funding Guarantee (MFG) for mainstream schools and academies between +0.5% and +1.84% in conjunction with a local gain cap;

- the DfE confirmed that local authorities will continue to be able to transfer up to 0.5% of their schools block to other blocks of the DSG, with schools forum approval. A disapplication is required for transfers above 0.5%, or any amount without schools forum approval;
 - the DfE are introducing a new formulaic approach to the mobility factor
 - teachers' pay grant and teachers' pension employer contributions grant will both continue to be paid separately from the NFF in 2020-21.
- 2.3 During the Autumn 2019 term, officers have been in discussion with the North Yorkshire Schools Forum over the continued soft implementation of the NFF and the potential transfer of funding from Schools Block to assist with significant High Needs Budget financial pressures. At its meeting in November, the Schools Forum endorsed a move to continue to use the NFF as a basis for funding schools in North Yorkshire from April 2020. The Schools Forum are supportive that the Council should continue to lobby DfE on the overall quantum of funding. As is required by DfE regulations, this recommendation was subject to a consultation exercise with all schools and academies in the county. Further details about this consultation are set out below in section 6.
- 2.4 In practical terms, the Council will adjust the NFF formula factors as issued by the DfE in published notional budgets to calculate school budgets within the constraints of the final agreed funding envelope.
- 2.5 As part of the transitional funding arrangements, the formula will use a Minimum Funding Guarantee (MFG) of either +0.5% or the lowest MFG applicable to a 0% transfer (indicative 1.37% based on the modelling undertaken on 2019/20 data for the funding consultation with schools) (dependent on the decision from the Secretary of State in relation to the disapplication request to transfer 0.5% funding from the Schools Block to the High Needs budget), and each school will receive at least the Minimum Per Pupil Level of funding (MPPL).
- 2.6 As can be seen below, a majority of schools supported Option 1 – a 0% transfer, 100% funding gains cap and an indicative MFG of +1.37%. The majority of schools did not support a transfer of funding from the Schools Block to the High Needs budget.

Options supported in respect of the transfer of funding to High Needs in 2020/21 and the level of Minimum Funding Guarantee protection, and associated funding gains cap to be applied in the calculation of school budgets for 2020/21 (support could be indicated for more than one option):		
Option		Number of responses received in support of the option
Option 1	High Needs Transfer: 0% MFG: 1.37% Funding Gains Cap: 100%	23
Option 2	High Needs Transfer: 0% MFG: 1.84% Funding Gains Cap: 34.33%	16
Option 3	High Needs Transfer: 0.5% MFG: 0.5% Funding Gains Cap: 13.81%	11
Option 4	High Needs Transfer: 0.5% MFG: 0.5% Funding Gains Cap: 13.81%	15
Option 5	High Needs Transfer: 1.0%	2

	MFG: 0.5% Funding Gains Cap: 10.61%	
Option 6	High Needs Transfer: 1.0% MFG: 1.84% Funding Gains Cap: 8.50%	2

- 2.7 Marginal majority support was indicated through the responses received to the Consultation for an MFG of less than the maximum allowed level of +1.84%. The implementation of a lower level of MFG protection facilitates a quicker transition for schools to the NFF funding principles within the constraints of the overall NFF transitional funding levels received by North Yorkshire LA from the DfE.
- 2.8 At its meeting on 13 November 2019 to consider the results of the consultation, the Schools Forum recommended to the Council that, in setting budgets for 2020-21, North Yorkshire County Council uses the values set out in the DfE's National Funding Formula, including the various transitional arrangements, and a Minimum Funding Guarantee of +0.5% or the lowest MFG applicable to a 0% transfer (indicative 1.37% based on the modelling undertaken on 2019/20 data) (dependant on the outcome of the Block transfer disapplication request) as a basis for funding schools in 2020-21.

High Needs

- 2.9 Previously, Schools Forum have agreed to transfer 0.5% of Schools Block funding into the High Needs Block for 2018-19. This amount represented £1.66m and was consulted with schools and agreed with Schools Forum. The transfer was agreed following recognition of an unprecedented increase in the numbers of requests for Education, Health and Care Plans (EHCPs) and the level of financial pressure in the High Needs system. In 2019-20, following consultation with schools and subsequent approval by Schools Forum, 0.5% of Schools Block funding was agreed to be transferred to the High Needs Block. This equated to £1.6m. However, given the scale and pace of the demand pressures and consequent financial issues, the local authority felt bound to submit a disapplication request to the Secretary of State seeking a 1% transfer from Schools Block to the High Needs Block. The disapplication request was successful and is significantly assisting with the 2019-20 financial position - £3.3m of the £8m financial pressure has been funded by the 1% transfer. The transfer has not been adequate to counter the cost pressure for a number of reasons:
- High Needs Block funding provided by the Department of Education is simply insufficient. Despite the Block transfer and the temporary funding announced in December 2018, the local authority has had to cross-subsidise the High Needs Dedicated Schools Grant (DSG) by £7m (£3.3m in 2018-19 and £3.7m in 2019-20) over the last two years.
 - There has been an unprecedented increase in Education, Health and Care Plans (EHCPs). Since 2014, there has been a 68% rise in EHCPs and this trend has continued throughout 2018 and 2019. This is an unfunded burden on schools and the local authority.
 - Tackling the financial pressure requires a system-wide approach to the development of plans and will not provide immediate relief in sustainable savings.
- 2.10 The number of EHCPs is a major driver of the financial pressure above, which has seen a 68% rise since 2014. The resulting in-year financial pressure of £8.0m overspend is offset, in part, by:
- the planned contribution of £1.6m from the Schools budget block transfer;

- the £1.2m temporary funding announced from December 2018;
- £3.7m LA cross-subsidisation.

The balance is funded by the supplementary 0.5% transfer from Schools Block agreed by Secretary of State in February 2019.

- 2.11 In December 2018, temporary funding of £1.24m was announced by the Government for both 2018-19 and 2019-20. In October 2019, this funding was confirmed again for 2020-21. An additional £5.4m has been allocated in the High Needs Block of the Dedicated Schools Grant for North Yorkshire for 2020-21. Additional funding is welcome but is insufficient to address the scale and pace of the financial pressure. With an £8m underlying overspend position in 2019-20, the local authority had anticipated that further cross-subsidisation of the DfE funding shortfall would be necessary (in 2019-20, this amounted to a net investment of £3.7m). However, with a further DfE consultation effectively prohibiting LA support to high needs through a tightening of the DSG ringfence - but without any indication of how this will be funded – the LA has felt it necessary to consult on some contribution from the Schools Block in 2020-21. Even with a full 1.0% transfer, it is likely that the accumulated deficit will rise by March 2021.
- 2.12 In October/ November 2019, the local authority consulted on 0%, 0.5% and 1.0% transfers from the Schools Block. The results of the consultation showed greatest support for a 0% transfer, some support for a 0.5% transfer and limited support for a 1.0% transfer. Schools Forum discussion in November endorsed this view with voting resulting in a collective Forum view not to support any transfer. The local authority acknowledged this view given well documented financial pressures facing some schools. However, given the continued significant budget pressures on the High Needs Block, the LA has submitted a disapplication request to the Secretary of State seeking a 0.5% transfer. A response has not yet been received as to whether the 0.5% transfer request has been approved by the Secretary of State at the time of the publication of this report.
- 2.13 It is important to recognise that the 1.0% transfer in 2019-20 has been insufficient in addressing the High Needs financial pressure. Costs within the High Needs Block have continued to exceed the funding allocation for the following reasons:
- the indicative increase in High Needs funding of 11.1% for 2020-21 and the 1.0% increase between 2017-18 and 2019-20 has been insufficient in the context of demand increases.
 - A significant element of the funding formula is based on historic spending. This bears no reflection to the actual cost drivers creating financial pressure and penalises the local authority (and schools) for past efficiencies.
 - There has been an unprecedented increase in demand as a direct consequence of the 2014 SEND legislative reforms. The number of Education, Health and Care Plans (EHCPs) have risen by 68% since 2015 from c.1,700 to c.3,000 plans and is expected to continue to rise by c.250-300 plans each financial year.
 - Information on trends and pressures in SEND were highlighted at the September 2019 Schools Forum meeting and are provided as Appendix 4 to this paper for ease of reference.
- 2.14 In the event that a disapplication request to the Secretary of State is unsuccessful, the default position is a 0% transfer to the High Needs budget.

3.0 FINANCIAL IMPLICATIONS

- 3.1 All funding discussed in this paper is part of the Dedicated Schools Grant (DSG). There is no direct impact on the Council's budget as a result of the recommendations to adopt the mandatory Minimum Per Pupil Level of Funding or the Minimum Funding Guarantee.
- 3.2 There is an impact on individual schools in terms of how the funding will be allocated. No school will receive less than the mandatory Minimum Per Pupil Level of funding. A comparison of the impact on schools for each of the proposed options was shown at school level as part of the consultation.
- 3.3 The recommendation to transfer 0.5% funding from the Schools Block to the High Needs Budget will help to reduce the level of council funding required to meet the High Needs Budget financial pressures. Based on the assumption that no transfer will be approved, it is expected that £5.1m of council funding will be required to support the financial pressures on the High Needs Budget, after taking into account the recently announced additional funding of £5.4m for 2020/21. If a 0.5% transfer is approved by the DfE the funding requirement from the council will reduce to £3.5m.

4.0 LEGAL IMPLICATIONS

- 4.1 Whilst the Schools Block is ring-fenced in 2020-21, it is possible to transfer up to 0.5% of schools block funding out with the agreement of the Schools Forum. Transfers of more than 0.5% of the schools block require the local authority to make a Disapplication Request to the Secretary of State as does the position where the Schools Forum turn down a proposal from the authority to move funding out of the Schools Block, but the authority wishes to proceed with the transfer. The DfE acknowledge that most requests to transfer funding from the Schools Block will arise as a result of pressures on their High Needs Budgets.
- 4.2 Schools Forum approval to transfer 0.5% of Schools Block funding to the High Needs Block has been not been given.
- 4.3 The deadline for Disapplication Requests to transfer funding from the Schools Block to the High Needs Block was 28th November 2019. The DfE also have a deadline of 21st January 2020 for the submission of school budgets (following political approval). At the time this paper was submitted to Executive, a response from DfE on whether to approve the requested 0.5% transfer is unknown. Therefore, recommendations in this report provide Executive with an opportunity to approve a transfer of 0.5% if approval is received; and to approve a 0% transfer, if approval from DfE is not received.

5.0 EQUALITIES IMPLICATIONS

- 5.1 An Equality Impact Assessment (EIA) has been completed. It is anticipated that there will be no impact on any persons with protected characteristics as defined by the Equality Act 2010.
- 5.2 The Equality Impact Assessment has assessed the impact of the proposal namely
 - To change how we use the Council's DSG (Dedicated Schools Grant) and specifically the funding blocks for High Needs and Schools Block
 - To consider transfers of 0%, 0.5% and 1% from the School Block to High Needs Block for 2020/21.
 - To hold consultation with all schools and academies in North Yorkshire over these proposals
 - To report findings, conclusions and recommendations to the School Forum

- 5.3 At this stage of the EIA there is no evidence to suggest that the proposal made will significantly disadvantage one or more protected characteristics, rather it will assist in supporting targeted funding to children with SEND.
- 5.4 The EIA was presented to the meeting of the Schools Forum on the 13th November 2019. No comments were received on the EIA.

6.0 CONSULTATION UNDERTAKEN AND RESPONSES

- 6.1 A consultation was undertaken with all schools and academies in North Yorkshire, following discussions with the North Yorkshire Schools Forum.
- 6.2 This consultation lasted from Friday 18th October 2019 until Thursday 7th November 2019. There were 39 school responses (an increase of 11 compared with 2018), as shown below:

LA Maintained Primary	20
LA Maintained Secondary	5
LA Federation – Primary	3
LA Federations – cross phase	1
Primary Academy	8
Academy Trust	2
	39

(Response Rate: 16.43% - 57 school schools and academies are represented in the responses received)

7.0 RECOMMENDATIONS

- 7.1 The Council's Executive is asked to agree that:
- i. The Council continues to use the principles of the DfE's National Funding Formula, including the various transitional arrangements, and a Minimum Funding Guarantee of +0.5% in the event of the disapplication request being approved, or the lowest MFG applicable to a 0% transfer (indicative +1.37% based on the modelling undertaken on 2019/20 data but subject to final calculation using 2020/21 data) if the disapplication is not approved, as a basis for funding schools in 2020-21; and
 - ii. Subject to approval being received by the Secretary of State, 0.5% of the Schools Block will be used to support High Needs costs in 2020-21. In the event of the 0.5% transfer request being refused by the Secretary of State, that there is no transfer of the Schools Block to support High Needs costs in 2020-21, as agreed by the North Yorkshire Schools Forum; and
 - iii. The Council will continue to push for a fairer and more equitable funding settlement for schools in North Yorkshire. We will also continue to lobby for a fairer settlement of High Needs resources.

Stuart Carlton
 Corporate Director – Children and Young People's Service
 County Hall
 Northallerton
 January 2020

Report Author: Howard Emmett, Assistant Director – Strategic Resources

Background Documents:

Reports to the North Yorkshire Schools Forum/ North Yorkshire Education Partnership:

- 14th March 2019
- 23rd May 2019
- 26th September 2019
- 13th November 2019
- 12th December 2019

<http://cyps.northyorks.gov.uk/nyep-meetings-and-agendas>

Equality impact assessment (EIA) form: evidencing paying due regard to protected characteristics

(Form updated April 2019)

School Funding 2020-21 (School & High Needs Block Funding)

If you would like this information in another language or format such as Braille, large print or audio, please contact the Communications Unit on 01609 53 2013 or email communications@northyorks.gov.uk.



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如欲索取以另一語文印製或另一格式製作的資料，請與我們聯絡。

اگر آپ کو معلومات کسی دیگر زبان یا دیگر شکل میں درکار ہوں تو برائے مہربانی ہم سے پوچھئے۔

Equality Impact Assessments (EIAs) are public documents. EIAs accompanying reports going to County Councillors for decisions are published with the committee papers on our website and are available in hard copy at the relevant meeting. To help people to find completed EIAs we also publish them in the Equality and Diversity section of our website. This will help people to see for themselves how we have paid due regard in order to meet statutory requirements.

Name of Directorate and Service Area	North Yorkshire County Council: Central Services
Lead Officer and contact details	Howard Emmett - Assistant Director – Strategic Services
Names and roles of other people involved in carrying out the EIA	Sally Dunn – Head of Finance (Schools & Early Years) Catriona Lowin - Accountant (Schools and Early Years)
How will you pay due regard? e.g. working group, individual officer	The proposal has been subject to a school wide consultation process from 18 th October ending 7 th November 2019 and updated during and following the consultation responses. The item was discussed at the North Yorkshire School Forum meeting on 13 th November.
When did the due regard process start?	In setting School Funding in 2019/20, due regard was given to the recognition of the increased budget pressures in High Needs for children meeting these needs as part of their educational provision. As a result the Secretary of

	<p>State gave permission to transfer 1% (£3.39m) of the Schools Block funding to support High Needs.</p> <p>This EIA considers the same issue in respect of 2020-21 School and High Needs Funding.</p>
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Section 1. Please describe briefly what this EIA is about. (e.g. are you starting a new service, changing how you do something, stopping doing something?)

The EIA considers the need to change how we use the Council's DSG (Dedicated School Grant) and specifically the funding blocks for High Needs and Schools Block

In 2019/20, the DfE acknowledged the increasing pressures related to High Needs budgets faced nationally and allowed LAs, with the permission of their School Forums to use up to 0.5% of the Schools Block funding to support High Needs, plus they allocated additional funding to all local authorities. However, with significant budget pressures of £6.8m, in 2019-20, North Yorkshire successfully applied for a disapplication request to the Secretary of State for Education to transfer 1% of the Schools Block to the High Needs Block in recognition of this financial pressure.

For 2020/21, the DfE is continuing to allow the transfer of up to 0.5% of the School Block funding to the High Needs Block. The approval of the Schools Forum is required for this transfer and the views of local schools and academies will need to be considered in determining this decision. Any proposal to transfer more than 0.5%, or transfers of 0.5% where Schools Forum approval has not been given require the approval of the Secretary of State.

Given the demand for High Needs Services for children & young people (0-25) and the resulting financial pressures in North Yorkshire (see 2.) transfers of 0%, 0.5% and 1% are considered from the School Block to High Needs Block for 2020/21.

Section 2. Why is this being proposed? What are the aims? What does the authority hope to achieve by it? (e.g. to save money, meet increased demand, do things in a better way.)

The proposal seeks to meet the increasing demand for High Needs Services through a transfer in 2020/21 of up to 1% of the School Block funding to the High Needs budget for North Yorkshire County Council – up to approx. £3.52m to assist in meeting the increasing demands and financial pressures of High Needs.

For 2019/20, the Council received an overall funding allocation of £49.55m for High Needs, with an underlying pressure of £8.0m. Future demand predictions indicate that based on current trends the underlying financial pressure of £8.0m will increase in 2020-21.

This is evidenced by the following increase in activity:

- A significant increase in the number of children receiving SEN Support with a 16% increase in Primary School pupils and a 23% increase Secondary School pupils between January 2016 and January 2019
- Numbers of children with Education Health and Care Plans (EHCP) increasing by 68% between 2014/15 and 2018/19 (financial years)

The purpose of making this transfer to the High Needs Block is to provide funding towards the financial pressures. The Council's five year Strategic Plan for SEND (Education Provision 0-25) 2018-23, identifies the re-shaping of the High Needs Budget as one of the three core areas of the Council's Plan. A number of developments have been implemented in 2019/20 to address the unsustainable overspend position on the High Needs budget. These developments include:

- The replacement of the CAN-DO Resource Allocation System with a Banded System

- Moving to study programmes of 600 planned hours per academic year for post-16 study for young people with EHCPs.
- The transformation of Pupil Referral Services (PRS) and Alternative Provision establishments with a reduced funding allocation.

Further progress on the Strategic Plan will include:

- Consulting on implementing a medical tuition model;
- Development of targeted in-reach provision within mainstream schools;
- Implementation of the free Special School in Selby

However, this is not sufficient to address the underlying projected overspend and further measures will be considered in order for future financial sustainability to be achieved for the High Needs budget

Section 3. What will change? What will be different for customers and/or staff?

The impact of the proposal will be to reduce the overall quantum of funding remaining in the Schools Block and allow for a corresponding increase in the overall funding available in the High Needs Block, dependent that is upon the preferred percentage that emerges from the consultation, the views of the School Forum and the decision taken by the Council. The funding will remain ring-fenced to the overall Dedicated Schools Grant.

The shift of funding from one block to another will have an impact on children and young people including those with protected characteristics (e.g. targeting of resources for children with SEND) in both the donor and recipient blocks. The Council's SEND Strategy sets out these details in terms of ensuring a continuum of SEND education across the County for children and young people aged 0-25.

From an individual school perspective, the benefits of this shift will be dependent upon the cohort and characteristics of the children in the schools. As each school will make a contribution to the transfer of funds but certain schools may benefit more than others in terms of their funding requirements from the High Needs Block.

The impact on individual schools may also vary in relation to the proposed level of the Minimum Funding Guarantee (MFG) to be implemented in 2020/21.

Section 4. Involvement and consultation (What involvement and consultation has been done regarding the proposal and what are the results? What consultation will be needed and how will it be done?)

The DfE released their 2020/21 funding announcement and the associated detailed funding information required to model funding formula options for the next financial year on the 11th October 2019; this is later than usual. This has resulted in a much shorter timescale being available to consult on local school funding developments than would normally be the case; the DfE have acknowledged this position.

In order to report the results of the consultation to the meeting of the North Yorkshire Schools Forum on the 13th November 2019 and to meet the DfE deadline of the 28th November 2019 for the submission of any formula disapplication requests, the consultation period is 18th October 2019 – 7th November 2019 - just under 3 weeks and it has been necessary to run the consultation over the half term holiday period.

The consultation document was sent to all schools and academies (see link) inviting responses to be returned to the LA by 7th November. The responses and results from the consultation exercise will be presented at the Schools Forum on 13th November 2019. This EIA was updated during

and following the consultation responses. Schools will be notified of the outcome of this process before the end of November.

Link:

<https://r1.dmtrk.net/4BPJ-OPXA-022EF6J396/cr.aspx>

Section 5. What impact will this proposal have on council budgets? Will it be cost neutral, have increased cost or reduce costs?

Please explain briefly why this will be the result.

The specific proposal in the EIA is cost neutral as the overall quantum stays the same; rather there is a movement of funding from one block to another. This EIA does refer to the pressures in the High Needs Budget and the Council's SEND Strategy identifies actions that will enable the Council to operate within its available funding

Section 6. How will this proposal affect people with protected characteristics?	No impact	Make things better	Make things worse	Why will it have this effect? Provide evidence from engagement, consultation and/or service user data or demographic information etc.
Age	✓			There are almost 161,000 children and young people aged 0-25 in North Yorkshire. The proposal to move funds from the Schools Block to High Needs Block within the Dedicated Schools Grant (DSG) will mean that the funding quantum will continue to be for the benefit of children and young people. No other age bands will be affected.
Disability		✓		10.9% of the school population in North Yorkshire is at SEN Support and 2.5% of the school population have Education Health and Care Plans (EHCPs). There are currently 1100 more children with EHCPs funded by North Yorkshire than at the beginning of 2016. By transferring funding from the Schools Block in 2020/21, interim funding will ensure appropriate needs are met and children with these characteristics are less disadvantaged receiving the universal, targeted or specialist educational support they need.
Sex		✓	✓	The SEND population of young people in North Yorkshire with an EHCP is higher among boys, (61%), so proportionally there may be a greater benefit from these proposals for boys than girls but this will be in line with assessed need.
Race	✓			It is anticipated there would be no identifiable impact as a result of this proposal for this characteristic

Appendix A

Gender reassignment	✓			It is anticipated there would be no identifiable impact as a result of this proposal for this characteristic
Sexual orientation	✓			It is anticipated there would be no identifiable impact as a result of this proposal for this characteristic
Religion or belief	✓			It is anticipated there would be no identifiable impact as a result of this proposal for this characteristic
Pregnancy or maternity	✓			It is anticipated there would be no identifiable impact as a result of this proposal for this characteristic
Marriage or civil partnership	✓			It is anticipated there would be no identifiable impact as a result of this proposal for this characteristic

Section 7. How will this proposal affect people who...	No impact	Make things better	Make things worse	Why will it have this effect? Provide evidence from engagement, consultation and/or service user data or demographic information etc.
..live in a rural area?	✓			It is anticipated there would be no identifiable impact as a result of this proposal for this characteristic
...have a low income?	✓			No data available at time of writing to show there is a greater impact on those children with SEND and families with low incomes
...are carers (unpaid family or friend)?	✓			It is anticipated there would be no identifiable impact as a result of this proposal for this characteristic

Section 8. Geographic impact – Please detail where the impact will be (please tick all that apply)	
North Yorkshire wide	✓
Craven district	
Hambleton district	
Harrogate district	
Richmondshire district	
Ryedale district	
Scarborough district	
Selby district	
If you have ticked one or more districts, will specific town(s)/village(s) be particularly impacted? If so, please specify below.	

Section 9. Will the proposal affect anyone more because of a combination of protected characteristics? (e.g. older women or young gay men) State what you think the effect may be and why, providing evidence from engagement, consultation and/or service user data or demographic information etc.

None identified other than a potential positive impact for boys with SEND by targeting DSG funding in this way.

Section 10. Next steps to address the anticipated impact. Select one of the following options and explain why this has been chosen. (Remember: we have an anticipatory duty to make reasonable adjustments so that disabled people can access services and work for us)	Tick option chosen
1. No adverse impact - no major change needed to the proposal. There is no potential for discrimination or adverse impact identified.	✓
2. Adverse impact - adjust the proposal - The EIA identifies potential problems or missed opportunities. We will change our proposal to reduce or remove these adverse impacts, or we will achieve our aim in another way which will not make things worse for people.	
3. Adverse impact - continue the proposal - The EIA identifies potential problems or missed opportunities. We cannot change our proposal to reduce or remove these adverse impacts, nor can we achieve our aim in another way which will not make things worse for people. (There must be compelling reasons for continuing with proposals which will have the most adverse impacts. Get advice from Legal Services)	
4. Actual or potential unlawful discrimination - stop and remove the proposal – The EIA identifies actual or potential unlawful discrimination. It must be stopped.	
<p>Explanation of why option has been chosen. (Include any advice given by Legal Services.)</p> <p>No significant adverse impacts have been identified from the EIA affecting one or more protected characteristic.</p> <p>The proposal does not recommend a reduction to the level of funding for children and young people rather it seeks to target that available to the area of High Needs identified as an area of growing demand, The EIA identifies that the Council has a Strategy in place to re-shape High Needs Budgets (parts of which are to receive a separate EIA) and that this funding in 2020/21 will provide interim financial support to protect those children with special educational needs and disabilities.</p> <p>The consultation with schools concluded on the 7th November. This EIA was updated during and following the consultation responses.</p>	

Section 11. If the proposal is to be implemented how will you find out how it is really affecting people? (How will you monitor and review the changes?)

In addition to the regular monitoring and reporting of finances to the School Forum, the Strategic SEND Plan sets out a comprehensive countywide North Yorkshire Inclusion Partnership model which will make sure there is a strategic vision across North Yorkshire.

The membership will include as well as LA senior officers both senior representatives from education providers across the 0-25 age range and parents/carers. The new model will include local area groups and panels to ensure the efficient and fair use of financial resources

Section 12. Action plan. List any actions you need to take which have been identified in this EIA, including post implementation review to find out how the outcomes have been achieved in practice and what impacts there have actually been on people with protected characteristics.				
Action	Lead	By when	Progress	Monitoring arrangements
1. To undertake a formal consultation with schools	Howard Emmett – Asst. Director	7 th November 2019	Completed	School Forum
2. To report outcomes to School Forum	Howard Emmett – Asst. Director	13 th November 2019	Completed	School Forum
3. To seek Corporate Director approval for a disapplication request	Howard Emmett – Asst. Director	26 th November 2019	Completed	Corporate Director – Children & Young People's Service
4. Pending outcome from 1.& 2. write to DfE for a disapplication request	Sally Dunn Head of Schools & Early Years	28 th November 2019	Completed	Assistant Director – Strategic Resources
5. Review via North Yorkshire Inclusion Governance Groups				

Section 13. Summary Summarise the findings of your EIA, including impacts, recommendation in relation to addressing impacts, including any legal advice, and next steps. This summary should be used as part of the report to the decision maker.

The Equality Impact Assessment has assessed the impact of the proposal namely:

- To change how we use the Council's DSG (Dedicated Schools Grant) and specifically the funding blocks for High Needs and Schools Block
- To consider transfers of 0%, 0.5% and 1% from the School Block to High Needs Block for 2020/21.
- To hold consultation with all schools and academies in North Yorkshire over these proposals
- To report findings, conclusions and recommendations to the School Forum

At this stage of the EIA there is no evidence to suggest that the proposal made will significantly disadvantage one or more protected characteristics rather it will assist in supporting targeted funding to children with SEND.

The EIA was presented to the meeting of the Schools Forum on the 13th November 2019. No comments were received on the EIA.

Section 14. Sign off section

This full EIA was completed by:

Name: Catriona Lowin

Job title: Accountant

Directorate: Central Services

Signature:

Completion date: 3 January 2020

Authorised by relevant Assistant Director (signature):

Howard Emmett

Date: 3 January 2020

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